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Applicant(s): Yanhai Du et al.

Docket No.

UCT-0042

Application No.

10/820,167

Filing Date

04/06/2004

Examiner

NYA

Customer No.

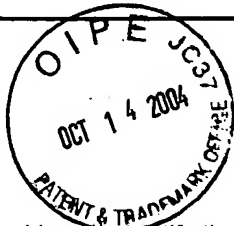
23413

Group Art Unit

1746

Invention:

SOLID STATE ELECTROCHEMICAL DEVICES



Information Disclosure Statement (IDS) (1 page); Transmittal of IDS (2 pages); Form PTO-A820 (2 pages); 1 foreign patent document; 3 Japanese patent abstracts; Copy of International Search Report and Written Opinion of the International Searching Authority

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Michelle E. Fetzner

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yanhai Du et al.

Serial No.: 10/820,167

Filing Date: April 6, 2004

For: SOLID STATE ELECTROCHEMICAL DEVICES



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) Group Art Unit: 1746
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) Examiner:
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Mail Stop Amendment
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P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

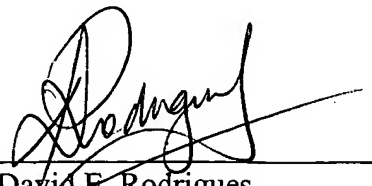
Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By: 
David E. Rodrigues
Registration No. 50,604

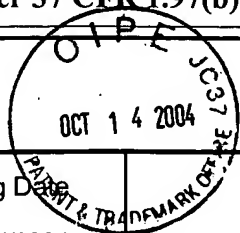
Date: October 12, 2004
Customer No.: 23413
Telephone: (860) 286-2929

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
UCT-0042

In Re Application Of:

Yanhai Du et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/820,167	04/06/2004	NYA	23413	1746	7021

Title:

SOLID STATE ELECTROCHEMICAL DEVICES

Address to:
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P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

UCT-0042

In Re Application:

Yanhai Du et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/820,167	04/06/2004	NYA	23413	1746	7021

Title:

SOLID STATE ELECTROCHEMICAL DEVICES**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below.
- ☐ Charge the amount of _____
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CC:

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				ATTY DOCKET NO.		APPLICATION NO.		
				UCT-0042		10/820,167		
				APPLICANT(S)				
				Yanhai Du et al.				
FILING DATE				GROUP ART UNIT				
04/06/2004				1746				
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
U.S. PATENT APPLICATION PUBLICATIONS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		EP 0 875 285 A1	04.11.1998	Europe				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
		Patent Abstracts of Japan, Vol. 0140, No. 32 (E-876), 22 January 1990 (1990-01-22) & JP 1 267964 A (Mitsui Eng. & Shipbuild Co Ltd.; others: 01), 25 October 1989 (1989-10-25), Fuel Battery With Solid Electrolyte - abstract						
		Patent Abstracts of Japan, Vol. 0140, No. 89 (E-891), 19 February 1990 (1990-02-19) & JP 298647 A (Mitsubishi Heavy Ind. Ltd.; others: 01), 1 December 1989 (1989-12-01), Cylindrical Type Solid Electrolyte Fuel Cell - abstract						
EXAMINER				DATE CONSIDERED				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. UCT-0042		APPLICATION NO. 10/820,167	
				Yanhai Du et al.			
				FILING 04/06/2004		GROUP ART 1746	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
			Patent Abstracts of Japan, Vol. 0142, No. 55 (E-0935), 31 May 1990 (1990-05-31) & JP 2 075167 A (Mitsui Eng. & Shipbuild Co Ltd.; others: 01), 14 March 1990 (1990-03-14), Fuel Cell With Solid Electrolyte - abstract
			International Search Report and the Written Opinion of the International Searching Authority Date Mailed: September 15, 2004

EXAMINER	DATE CONSIDERED
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